

Practitioner's Docket No. 13189.134

## CHAPTER II

Preliminary Classification:

Proposed Class: Unknown

Subclass: Unknown

TRANSMITTAL LETTER  
TO THE UNITED STATES ELECTED OFFICE (EO/US)  
(ENTRY INTO U.S. NATIONAL PHASE UNDER CHAPTER II)

PCT/EP98/08475	28 December 1998 (28.12.98)	28 December 1998 (28.12.98)
International Application Number	International Filing Date	International Earliest Priority Date

TITLE OF INVENTION: METHODS AND DEVICES FOR CODING OR DECODING AN  
AUDIO SIGNAL OR BIT STREAMAPPLICANT(S): Sperschneider, Ralph; Dietz, Martin; Ehret, Andreas; Brandenburg, Karlheinz;  
Gerhaeuser, Heinz; Nowbakht-Irani, Ali; Lauber, Pierre; and Bitto, Roland

ATTENTION: EO/US

Box PCT

Assistant Commissioner for Patents

Washington DC 20231

1. Applicant herewith submits to the United States Elected Office (EO/US) the following items under 35 U.S.C. Section 371:
  - a. This express request to immediately begin national examination procedures (35 U.S.C. Section 371(f)).
  - b. The U.S. National Fee (35 U.S.C. Section 371(c)(1)) and other fees (37 C.F.R. Section 1.492) as indicated below:

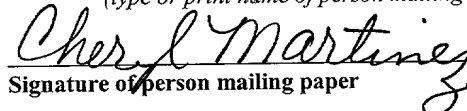
## CERTIFICATION UNDER 37 C.F.R. SECTION 1.10\*

(Express Mail label number is *mandatory*.)(Express Mail certification is *optional*.)

I hereby certify that this paper, along with any document referred to, is being deposited with the United States Postal Service on this date 6/27/01 in an envelope as "Express Mail Post Office to Addressee," mailing Label Number EL895408810US, addressed to Attention: EO/US, Box PCT, Assistant Commissioner for Patents, Washington, DC 20231.

Cheryl Martinez

(type or print name of person mailing paper)

  
Signature of person mailing paper

**WARNING:** Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. Section 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

**\*WARNING:** Each paper or fee filed by "Express Mail" *must* have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. Section 1.10(b).

"Since the filing of correspondence under [Section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will *not* be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

## 2. Fees

CLAIMS FEE*	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
BASIC FEE	TOTAL CLAIMS	26 -20 =	6	x \$18.00 =	\$108.00
	INDEPENDENT CLAIMS	4 - 3 =	1	x \$80.00 =	\$80.00
	MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$270.00				\$0.00
	U.S. PTO WAS NOT INTERNATIONAL PRELIMINARY EXAMINATION AUTHORITY Where no international preliminary examination fee as set forth in Section 1.482 has been paid to the U.S. PTO, and payment of an international search fee as set forth in Section 1.445(a)(2) to the U.S. PTO: where a search report on the international application has been prepared by the European Patent Office or the Japanese Patent Office (37 C.F.R. Section 1.492(a)(5)) ..... \$860.00				\$860.00
SMALL ENTITY	Total of above Calculations				= \$1,048.00
	Reduction by 1/2 for filing by small entity, if applicable. Affidavit must be filed. (note 37 CFR Sections 1.9, 1.27, 1.28)				- \$0.00
	Subtotal				\$1,048.00
	Total National Fee				\$1,048.00
	Fee for recording the enclosed assignment document \$40.00 (37 C.F.R. Section 1.21(h)). See attached "ASSIGNMENT COVER SHEET".				\$0.00
TOTAL	Total Fees enclosed				\$1,048.00

\*See attached Preliminary Amendment Reducing the Number of Claims.

Please charge Account No. 50-1848 in the amount of \$1,048.00.  
 A duplicate copy of this sheet is enclosed.

- A copy of the International application as filed (35 U.S.C. Section 371(c)(2)) is transmitted herewith.
- A translation of the International application into the English language (35 U.S.C. Section 371(c)(2)) is transmitted herewith.
- A copy of the International Examination Report (PCT/IPEA/409) is transmitted herewith.
- Annexes to the International Preliminary Examination Report are transmitted herewith.
- A translation of the annexes to the International Preliminary Examination Report is transmitted herewith.


8. An oath or declaration of the inventor (35 U.S.C. Section 371(c)(4)) complying with 35 U.S.C. Section 115 will follow.
- II. Other document(s) or information included:
9. An International Search Report (PCT/ISA/210) or Declaration under PCT Article 17(2)(a) is transmitted herewith.
10. An Information Disclosure Statement under 37 C.F.R. Sections 1.97 and 1.98 is transmitted herewith. Also transmitted herewith are Forms PTO/SB/08A and 08B, a concise explanation, and copies of citations listed.
11. Additional documents:
- a. International Publication No. WO 00/39933 (front page only)
  - b. First Preliminary Amendment (37 C.F.R. Section 1.121)
  - c. Annotated Copy Of Final Version Of PCT/EP98/08475
  - d. Express Mail Certificate
  - e. Return Postcard
12. The above items are being transmitted before 30 months from any claimed priority date.

**AUTHORIZATION TO CHARGE ADDITIONAL FEES**

The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the entire pendency of this application to Account No. 50-1848:

37 C.F.R. Section 1.492(a)(1), (2), (3), and (4) (filing fees)  
37 C.F.R. Section 1.492(b), (c), and (d) (presentation of extra claims)  
37 C.F.R. Section 1.17 (application processing fees)  
37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))  
37 C.F.R. Section 1.492(e) and (f) (surcharge fees for filing the declaration and/or filing an English translation of an International Application later than 20 months after the priority date).

Date: June 26, 2001  
Reg. No.: 28,494  
Tel. No.: 303-379-1114  
Fax No.: 303-379-1155

  
Signature of Practitioner  
Carl A. Forest  
Customer No.: 24283